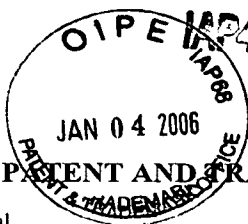


Docket No. 279148US0PCT



APR 4 REC'D PCT/PTO 04 JAN 2006

PCT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Hiroshi TAMAGAKI, et al.

SERIAL NO: 10/551,993

GAU:

FILED: October 4, 2005

EXAMINER:

FOR: METHODS OF PRODUCING AN ALUMINA FILM MAINLY IN A CRYSTAL STRUCTURE AND THE MULTILAYER FILM THEREOF

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☐ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☒ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.  
Norman F. Oblon

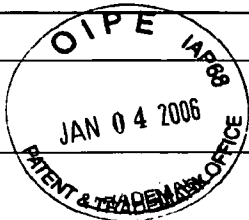
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(OSMMN 05/03)

**Roland E. Martin**  
**Registration No. 48,082**

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /J.M./



Docket No.: 279148US0PCT

Serial No.: 10/551,993

Inventor: Hiroshi TAMAGAKI, et al.

LIST OF RELATED CASES CITED BY  
APPLICANT UNDER 37 CFR 1.56

Filing Date: October 4, 2005

Group:

**LIST OF RELATED CASES**

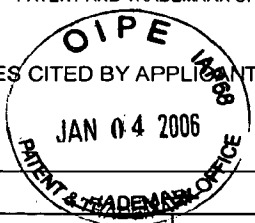
<u>Examiner Initial</u>	<u>Docket No.</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Patent App. Publication No.</u>	<u>Inventor or Applicant</u>
	280115US0PCT	10/554,601	10/27/05		TAMAGAKI, et al.
	279148US0PCT*	10/551,993	10/04/05		TAMAGAKI, et al.
	274370US0	11/174,551	07/06/05		KOHARA, et al.
	265206US0PCT	10/523,931	02/07/05	US2005/0276990 A1	KOHARA, et al.
	265125US2PCT	10/523,815	02/04/05		KOHARA, et al.
	258007US0	10/933,374	09/03/04	US2005/0058850 A1	KOHARA, et al.

Examiner

Date Considered

\*Present Application; listed for information  
NFO/kch/hah

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /J.M./

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 279148US0PCT		SERIAL NO. 10/551,993	
<div style="display: flex; align-items: center; justify-content: center;"> <div style="text-align: left; margin-right: 10px;">LIST OF REFERENCES CITED BY APPLICANT</div>  </div>				APPLICANT Hiroshi TAMAGAKI, et al.			
				FILING DATE October 4, 2005		GROUP	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	US2005/0276990 A1	12/15/05	KOHARA, et al.			
	AB	US2005/0058850 A1	03/17/05	KOHARA, et al.			
	AC						
	AD						
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	AN						
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES                      NO		
	AO						
	AP						
	AQ						
	AR						
	AS						
	AT						
	AU						
	AV						
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	AW						
	AX						
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner                      /Joseph Miller, Jr/					Date Considered              12/12/2008		
<small>*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</small>							

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /J.M./